

FORMALITIES LETTER *OC000000005044620*



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/492,521

01/27/2000

Hisao Hayashi

KN-43-US

MCGINN & GIBB P.C. 1701 Clarendon Boulevard Suite 100 Arlington, VA 22209



Date Mailed: 04/12/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$78.
 - \$78 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$898.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/14/2000 MBERHE 00000019 09492521

01 FC:101 690.00 OP 02 FC:105 130.00 OP 03 FC:102 78.00 OP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Hayashi, H.

Serial No.: 09/492,521

Filing Date: January 27, 2000

For: COMPACT IMAGE SCANNER CAPABLE OF READING BOTH A LIGHT-

REFLECTING ARTICLE AND A LIGHT-TRANSMITTING ARTICLE

Assistant Commissioner of Patents Washington, D.C. 20231

SUBMISSION OF DECLARATION

Sir:

In response to the Notice to File Missing Parts of Application dated April 12, 2000, submitted herewith is the signed declaration for the above-identified patent application, along with our check in the amount of \$938 to cover the \$690 application filing fee, the \$130 surcharge for the late filing of the declaration, the \$78 additional claim fee, and \$40 for the assignment recordation fee.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

Group Art Unit: 2878

Examiner: Unknown

Sean M. McGinn

Registration No. 34,386

Date: VI UI (McGinn & Gibb, P.C

Intellectual Property Law

1701 Clarendon Boulevard, Suite 100

Arlington, Virginia 22209

(703) 294-6699

Customer No. 21254



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Hayashi, H.

Serial No.: 09/492,521

Filing Date: January 27, 2000

Group Art Unit: 2878

Examiner: Unknown

For: COMPACT IMAGE SCANNER CAPABLE OF READING BOTH A LIGHT-

REFLECTING ARTICLE AND A LIGHT-TRANSMITTING ARTICLE

Assistant Commissioner of Patents Washington, D.C. 20231

SUBMISSION OF ASSIGNMENT

Sir:

Kindly record and return to the undersigned the attached Assignment from Hisao Hayashi to NEC Corporation, for the above-identified patent application. The recording fee \$40.00 is included in the total fee for filing the Missing Parts for the above-identified application, a check for which accompanies this submission.

Respectfully submitted,

Sean M. McGinn

Registration No. 34,386

Date: __(

McGinn & Gibb, P.C

Intellectual Property Law

1701 Clarendon Boulevard, Suite 100

Arlington, Virginia 22209

(703) 294-6699

Customer No. 21254